

**FORMAL ENTRY**

**CRAWFORD MAUNU PLLC**  
Attorneys at Law  
1270 Northland Drive, Suite 390  
St. Paul, Minnesota 55120  
651-686-6633 • fax 651-686-7111

**FACSIMILE****OFFICIAL**

**DATE:** September 24, 2003 **SER. NO.:** 09/520,825  
**ATTN:** Examiner Dass **FROM:** Steven Funk  
**FAX NO.:** (703) 872-9326 **DOCKET NO.** HUEC.300US01  
**PHONE NO.:**  
**NO. OF PAGES** 7 **SUBJECT:** Communication  
**(w/ cover sheet):** regarding  
abandonment

Following please find a communication to the U.S. Patent and Trademark Office, the Request for Change of Correspondence Address as originally filed August 7, 2002, and two Status Inquiries dated August 6, 2002 and July 24, 2003.

We are also including the Auto-Reply Facsimile Transmission as was received from the U.S. Patent and Trademark Office on August 27, 2003 indicating that the above-mentioned documents were received by the USPTO.

**RECEIVED  
CENTRAL FAX CENTER**

SEP 24 2003

**NOTICE OF CONFIDENTIALITY AND  
ATTORNEY-CLIENT PRIVILEGED/WORK PRODUCT INFORMATION**

This memorandum and the transmission it accompanies contain confidential information. The attorney-client privilege and the attorney work-product doctrines may protect this confidential information. This confidential information is to be reviewed only by the addressee identified above. If you have received this transmission in error, you are instructed to destroy all pages immediately and to call the sender at the telephone number indicated above.

SERIAL NO. 09/520,825

PATENT APPLICATION

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant:	HUEI.ER	Examiner:	Dass
Serial No.:	09/520,825	Group Art Unit:	3628
Filing Date:	March 8, 2000	Docket No.:	HUEC.300US01
Title:	ELECTRONIC COMMUNICATION SYSTEM AND METHOD FOR FACILITATING FINANCIAL TRANSACTION BIDDING AND REPORTING PROCESSES		

OFFICIAL

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the papers, as described hereinabove, are being transmitted via facsimile only-Formal Entry, to the attention of Examiner Dass at the U.S. Patent and Trademark Office on August 27, 2003.

Facsimile No. (703) 872-9326

By:

Tracey M. Dotter

COMMUNICATION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RECEIVED  
CENTRAL FAX CENTER

SEP 24 2003

Dear Sir:

On August 27, 2003, the undersigned attorney of record received a telephone message from Examiner Harish Dass. The undersigned attorney returned the call, and Examiner Dass indicated that the 6-month period to file a response to an Office Action for patent application serial number 09/520,825 had expired. This situation was unknown to the Applicant and undersigned attorney of record, and in fact a status inquiry was filed for this case as recently as July 24, 2003.

Through my discussion with Examiner Dass, it was discovered that the Office Action was mailed to a previous law firm, Altera Law Group, of the undersigned attorney of record. However, the undersigned attorney had filed a Request for Change of Correspondence Address (including a 1.8 Certificate) with the United States Patent and Trademark Office that was mailed on August 7, 2002 requesting the correspondence address be changed to Crawford PLLC, 1270 Northland Drive, Suite 390, St. Paul, MN

55120. A copy of the request is included with this Communication. The Examiner indicated that he would retrieve the file, investigate further, and contact me again.

In the follow-up telephone conversation with the Examiner, Examiner Dass indicated that the Office Action was mailed to a previous address of Altera Law Group; therefore, Altera Law Group did not receive the Office Action, and the Office Action was returned to the U.S. Patent and Trademark Office as undeliverable. At this point, the Examiner suggested that the undersigned attorney contact his supervisory examiner, Examiner Sam Sough. Mr. Sough contacted the undersigned attorney, and indicated that the previously-submitted Request for Change of Correspondence Address (and two previously-submitted Status Inquiries that were also not in the USPTO file) be resubmitted. The purpose of this Communication is to resubmit these documents, which are included with this Communication.

Therefore, the Applicant hereby resubmits the Request for Change of Correspondence Address dated August 7, 2002, and also resubmits the two Status Inquiries dated August 6, 2002 and July 24, 2003. The Applicant respectfully requests that the Office Action be mailed to the undersigned attorney of record at the correct address as provided in the Request for Change of Correspondence Address, or otherwise be provided with assistance as to the manner in which the Applicant may now proceed.

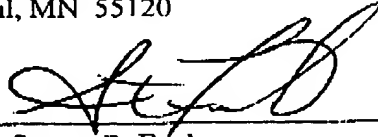
The undersigned attorney would like to thank Examiner Dass and Examiner Sough for their courteous and prompt assistance that they provided today. The undersigned attorney of record may be contacted at 651-686-6633 (extension 110) to discuss any issues related to this matter.

Respectfully submitted,

Crawford Maunu PLLC  
1270 Northland Drive, Suite 390  
St. Paul, MN 55120

Date: August 27, 2003

By:

  
Steven R. Funk  
Reg. No. 37,830

PATENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: HUELER *et al.* Examiner: Unassigned  
Serial No.: 09/520,825 Group Art Unit: 2768  
Filed: March 8, 2000 Docket No.: HUEC.300US01  
Title: ELECTRONIC COMMUNICATION SYSTEM AND METHOD FOR  
FACILITATING FINANCIAL TRANSACTION BIDDING AND  
REPORTING PROCESSES

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the papers, as described hereinabove, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on August 6, 2002.

By:   
Tracey DotterSTATUS INQUIRY

Assistant Commissioner for Patents  
Washington, D.C. 20231

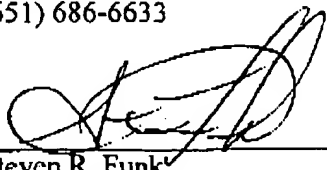
OFFICIAL

Dear Sir:

Kindly advise the undersigned of the present status of this application and please indicate when a communication from the Patent and Trademark Office may be expected.

Respectfully submitted,

CRAWFORD PLLC  
1270 Northland Drive  
Suite 390  
St. Paul, MN 55120  
(651) 686-6633

Date: Aug. 5, 2002  
Steven R. Funk  
Reg. No. 37,830RECEIVED  
CENTRAL FAX CENTER

SEP 24 2003

Sent By: Crawford PLLC;  
8/27/03 17:18:55

651686 7111;

Sep-24-03 10:09AM;

Page 7/7

USPTO->

651686 7111 R:~4tFAX

Page 001

TO:Auto-reply fax 651686 7111 COMPANY:

## Auto-Reply Facsimile Transmission



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

TO:

Fax Sender at 651686 7111

Fax Information

Date Received:

Total Pages:

8/27/03 4:47:23 PM [Eastern Daylight Time]  
6 (including cover page)

**ADVISORY:** This is an automatically generated return receipt confirmation of the facsimile transmission received by the Office. Please check to make sure that the number of pages listed as received in Total Pages above matches what was intended to be sent. Applicants are advised to retain this receipt in the unlikely event that proof of this facsimile transmission is necessary. Applicants are also advised to use the certificate of facsimile transmission procedures set forth in 37 CFR 1.8(a) and (b), 37 CFR 1.6(f). Trademark Applicants, also see the Trademark Manual of Examining Procedure (TMEP) section 306 et seq.

Received  
Cover  
Page  
=====>

Sent By: Crawford PLLC;		651686 7111;		Aug-27-03 4:07PM;		Page 1	
<b>FORMAL ENTRY</b>							
CRAWFORD MAUNU PLLC ATTORNEYS AT LAW 1270 Northland Drive, Suite 300 St. Paul, Minnesota 55120 651-686-6613 • fax 651-686-7111							
<b>FACSIMILE</b>							
DATE:	August 27, 2003	SRB. NO.:	04520,825	ATTN:	Examiner Date	FROM:	Steven Pank
FAX NO.:	(703) 872-9326	DOCKET NO.:	H1/KC-1000581	PICTURE NO.:	0	SUBJECT:	Continuation regarding abandonment
NO. OF PAGES (w/ cover sheet):							
<b>NOTICE OF CONFIDENTIALITY AND ATTORNEY-CLIENT PRIVILEGE/PROBABLE PRODUCT INFORMATION</b>							
<small>This automatic reply and the transmission is a confidential communication. The attorney-client privilege and the attorney work-product doctrine may protect this confidential information. This confidential information is to be reviewed only by the addressee identified above. If you have received this transmission in error, you are instructed to destroy all pages immediately and to call the number at the telephone number indicated above.</small>							
Received from <651686 7111> at 8/27/03 4:47:23 PM [Eastern Daylight Time]							

Received from <651686 7111> at 9/24/03 11:08:22 AM [Eastern Daylight Time]